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THE DUAL MODULE OF GORENSTEIN
k-ALGEBRAS

*38th Symposium on Commutative Algebra in Japan
9th Japan-Vietnam Joint Seminar on Commutative Algebra*

We will survey some recent results on:

an extension of Macaulay's Inverse System theorem to Gorenstein d -dimensional k -algebras

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Consider

$$R = S/I$$

The codimension of R (of I) is defined by

$$\text{codim}(R) = \dim S - \dim R = n - \dim R$$

R is said a complete intersection (c.i.) if I can be generated by $\text{codim}(R)$ elements.

$$\text{c.i.} \implies \text{Gorenstein}$$

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GORENSTEIN RINGS

Preliminaries

Based on the famous paper by H. Bass ('63) (On the ubiquity of Gorenstein rings), there are many equivalent definitions of Gorenstein rings:

Definition. R is Gorenstein if R is Cohen-Macaulay and its dualizing module (or canonical module) $\text{Ext}_S^{n-d}(R, S)$ is free (of rank 1) where $d = \dim R$.

In terms of free resolutions

Proposition. Let $0 \rightarrow F_c \rightarrow F_{c-1} \rightarrow \cdots \rightarrow F_0 \rightarrow R \rightarrow 0$ a minimal free S -resolution of R . Then

$$R \text{ is Gorenstein} \iff c = \text{codim}(R) \text{ and } F_c \simeq S$$

$$\text{Codim}(R) = 2 \quad 0 \rightarrow S \rightarrow S^2 \rightarrow S \rightarrow R \rightarrow 0$$

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Theorem. [Buchsbaum-Eisenbud] $\text{codim}(R) = 3$

R is Gorenstein $\iff I$ is generated by $2m$ -order Pfaffians of a skew-symmetric $(2m + 1)$ alternating matrix A .

In this case a minimal free resolution of R over S has the form

$$0 \rightarrow S \rightarrow S^{2m+1} \xrightarrow{A} S^{2m+1} \rightarrow S \rightarrow R \rightarrow 0$$

A. Kustin, M. Reid studied the projective resolution of Gorenstein ideals of codimension 4, aiming to extend the previous famous theorem by Buchsbaum and Eisenbud.

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MATLIS DUALITY

Inverse system

Let $k = \bar{k}$ of arbitrary characteristic.

Let $S = k[[x_1, \dots, x_n]]$ (or $k[x_1, \dots, x_n]$) and let $E_S(k)$ the injective hull of k as R -module. Gabriel (58) observed that an injective hull of $k = S/(x_1, \dots, x_n)$

$$E_S(k) \simeq D^k(S_1) \simeq k[X_1, \dots, X_n] := D$$

a divided power ring.

D is a S -module by a contraction action:

$$x_j \circ X^{[a]} = x_j \circ (X_1^{a_1} \cdots X_n^{a_n}) = X_1^{a_1} \cdots X_j^{a_j-1} \cdots X_n^{a_n}$$

if $a_j > 0$. If $a_j = 0$, then is 0.

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Example:

$$\begin{aligned}x_1 &\circ X_1^2 X_2 = X_1 X_2 \\x_1 &\circ X_2^2 = 0\end{aligned}$$

If we assume $\text{char}(k) = 0$, then

$$\begin{aligned}(D, \circ) &\simeq (k[X_1, \dots, X_n], \partial) \\X^{[a]} &\dashrightarrow \frac{X^{[a]}}{a!}\end{aligned}$$

where $a! = \prod (a_i!)$ and ∂ is the usual partial derivative (with coefficients).

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We denote

$$\cdot^{\vee} = \text{Hom}_S(\cdot, D)$$

the exact functor in the category of the S -modules. Matlis ('58) showed that the functor \vee defines an equivalence between

$$\{\text{Artinian } S\text{-modules}\} \longrightarrow \{\text{Noetherian } S\text{-modules}\}$$

$$S/I \quad \dashrightarrow \quad (S/I)^{\vee} := I^{\perp} = \langle \{g(X) \in D \mid I \circ g(X) = 0\} \rangle$$

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INVERSE SYSTEM

Example : Let $I = (x^2, y^3) \subset S = k[[x, y]]$. Then I^\perp is a S -submodule of $D = k[X, Y]$ and

$$I^\perp = \langle \{g \in D \mid x^2 \circ g = 0 \text{ and } y^3 \circ g = 0\} \rangle = \langle XY^2 \rangle$$

If $I \subset S$ is an ideal (not necessarily 0-dimensional), then

$$(S/I)^\vee = \text{Hom}_S(R/I, D) \simeq I^\perp = \langle \{g(X) \in D \mid I \circ g(X) = 0\} \rangle,$$

a S -submodule of D and called **Macaulay's inverse system of I** .

$$I^\perp \text{ is finitely generated} \iff S/I \text{ is 0-dimensional}$$

$$S/I \text{ is 0-dimensional Gorenstein} \iff I^\perp \text{ is cyclic.}$$

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ARTINIAN Gorenstein k -algebras

Macaulay's Inverse System

Macaulay proved that there is the following 1-1 correspondence

$$\left\{ \begin{array}{l} I \subseteq S \text{ ideal such that} \\ S/I \text{ is Artinian Gorenstein} \\ \text{with socle degree}(S/I) = s. \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} M = S \circ F \\ S\text{-cyclic submodule of } D \\ \text{with degree } F = s \end{array} \right\}$$

$$\begin{array}{ccc} I & \longrightarrow & I^\perp \\ \text{Ann}_S(F) & \longleftarrow & M = \langle F \rangle_S \end{array}$$

Given a S -submodule M of D then

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EXAMPLES

Macaulay Inverse System

Example 1. Let $F = X^2 + Y^3 \in D = k[X, Y]$ and let $S = k[[x, y]]$.

Then

$$I = \text{Ann}_S(F) = (xy, x^2 - y^3)$$

and $R = S/I$ is Gorenstein c.i.

$$e = \ell(S/I) = \dim_k \langle F \rangle = \dim_k \langle F, Y^2, X, Y, 1 \rangle = 5.$$

$$HF_{S/I}(f) = \dim_k(I^h)_f : h = (1, 2, 1, 1)$$

Example 2. Let $F = X^2 + Y^2 + Z^2 \in D = k[X, Y, Z]$ and let $S = k[[x, y, z]]$. Then

$$I = \text{Ann}_S(F) = (x^2 - y^2, y^2 - z^2, xy, xz, yz)$$

and $R = S/I$ is Gorenstein (not c.i.).

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Example 2. Let $F = X^2 + Y^2 + Z^2 \in D = k[X, Y, Z]$ and let
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Isomorphism classes of 0-dimensional Gorenstein rings

Macaulay Inverse System

We may translate in terms of F many properties of the corresponding Gorenstein ideal

For instance we translate in an effective framework the analytic isomorphisms of Gorenstein 0-dimensional k -algebras in terms of the dual module $\langle F \rangle$

This topic plays an important role in studying the Hilbert scheme \mathbb{P}^n/P^n parametrizing Gorenstein 0-dimensional subschemes of \mathbb{P}^n and the Hilbert ring of the Hilbert scheme of k as F -module (see [Cossart], [Berndt], [Paoletti], [Eisen], [Cavigaglia-Franz-Velasco-Viehweg]).

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Proposition. Let $A = S/I$ and $B = S/J$ be two local Artinian Gorenstein algebras so that $I = \text{Ann}_S(F)$ and $J = \text{Ann}_S(G)$ with $F, G \in D$. Then TFAE:

- 1. $A \simeq B$
- 2. $\exists \phi \in \text{Aut}(S)$ such that $\phi(I) = J$
- 3. $\exists \phi \in \text{Aut}(S)$ such that $\phi^\vee(G) = u \circ F$ with $u \in S^*$
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d -dimensional Gorenstein rings

GOAL

Characterize the S -submodules M of D (*not finitely generated!*) such that

$$S/\text{Ann}_S(M)$$

is a d -dimensional Gorenstein ring (codimension n , multiplicity e , regularity r , ...)

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G_d -admissible

In the Artinian case M is cyclic, in positive dimension further conditions will be required.

Notation:

$$L = (l_1, \dots, l_d) \in \mathbb{N}_+^d$$

$$\gamma_i = (0, \dots, 0, \underset{i}{1}, 0, \dots, 0)$$

$$L_i = (l_1, \dots, l_{i-1}, \underset{i}{1}, l_{i+1}, \dots, l_d)$$

Definition. Let $d > 0$ and let $M \neq (0)$ be a S -submodule of the $D = E_S(k)$. We say that M is G_d -admissible, $1 \leq d < n$, if it admits a system of generators $\{H_L\}_{L \in \mathbb{N}_+^d}$ in $D = k[Z_1, \dots, Z_n]$ satisfying for every $L \in \mathbb{N}_+^d$ and $i = 1, \dots, d$ the following conditions:

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Main Theorem (J. Elias, R.)

With the above notation:

There is a one-to-one correspondence between the following sets:

$$\left\{ \begin{array}{l} S/I \text{ Gorenstein} \\ d\text{-dimensional rings} \\ (\text{graded}) \\ \text{multiplicity } e \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} M = \langle H_L, L \in \mathbb{N}^d \rangle \subseteq D \\ G_d\text{-admissible} \\ (\text{homogeneous}) \\ \dim_k \langle H_L \rangle = e \end{array} \right\}$$

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\underline{z} regular linear sequence mod I

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- $H_{1_d} := H_{1,\dots,1}$ determines an Artinian reduction of $R = S/I$

$$B = R/\underline{z}R = S/I + (\underline{z}) = R/\text{Ann}(H_{1_d})$$

We present two 1-dimensional examples starting from the same Artinian reduction $S/\text{Ann}_5(H_1)$ where $S = k[[x, y, z]]$ and

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Graded Case

In the graded case only a finite number of steps are necessary in the construction:

Theorem [Elias,—]

If $M = \langle H_L, L \in \mathbb{N}_+^d \rangle \subseteq D$ is a homogeneous G_d -admissible S -submodule of D , then

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ELLIPTIC CURVE IN \mathbb{P}_k^4 .

Let $H_{11} = X^2 + Y^2 + XZ \in D = k[X, Y, Z, T, W]$ ($\text{codim} = 3, d = 2$)

Notice that $e = \dim_k \langle H_{11} \rangle = \dim_k \langle H_1, X + Z, Y, X, 1 \rangle = 5$ and $r = \deg H_{11} = 2$.

We may construct

$$H_{22} = TW H_{11} + C_2,$$

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$R = S/I$ is a two-dimensional Gorenstein ring of multiplicity 5, $\{w, t\}$ is a regular sequence in S/I .

The projective scheme C defined by S/I is a non-singular arithmetically Gorenstein elliptic curve of \mathbb{P}_k^4 .

The generators of I are the Pfaffians of the skew matrix

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THANK YOU FOR THE ATTENTION!